Depository Announcement – No. 09/2016

The process and requirements of LEI code application through KELER

Effective from: 8 December 2016

CSV template for bulk registration

Bulk registration can only be processed if all the obligatory fields of the CSV have been filled in correctly. You should use the following template only and follow the instructions.

The CSV template can be used for the GEI requests of different types of legally independent entities. Therefore some of the fields have to be filled in differently, with a view to the type of the given entity (companies, funds, institutions).

The following pages contain a short description of the respective fields and then information for the completion of the fields for companies, funds and institutions.

Please compile the requests into one single file and do not separate the requests into several files. Various types of entities can be included in the same file (e.g. companies and all the funds managed by the companies can be recorded). The first row of the file contains the name of the fields; please leave this row unchanged and enter data into the second and subsequent rows. The rows shall be filled in continuously (one after the other) and include the information about all concerned entities in the file. Please do not leave rows empty.

Please use the following format when naming the files:

LEI_UNIQUE IDENTIFIER_DATE OF SUBMISSION
UNIQUE IDENTIFIER: 6 numeric characters (provided in the contract by KELER)
DATE OF SUBMISSION: DDMMYYYY format
File type: MS Excel 2007 or newer
File extension: xlsx

Note: Semicolons (;) are for separating the fields. If there are semicolons in your data (e.g. for separating cities and districts), please use colons (:) (city:district) instead of semicolons.

Field summary, Page 1/2

	Field Name	Obligatory*	Content (Company, Fund, Institution)
A	BULK_NAME	C, A, I	• Company • Fund • Institution Full Name
B	BULK_OU_TYPE	C, A	• Company • Fund • Not Required Legal Form (See Key File➜ Legal Form)
C	BULK_LE _STREET	C, A, I	• Company • Issuer Company / Capital Market Fund Manager (Kag) / Financial Fund Manager (Kvg) (Fund Manager) / Legally Independent Special Assets • Institution Address Of Registered Seat (Street And House Number)

D	BULK_LE _POSTAL	C, A, I	• Company • Issuer Company / Kag/Kvg (Fund Manager) Or Legally Independent Special Assets • Institution Postal Code Of Registered Seat
E	BULK_LE_CITY	C, A, I	• Company • Legally Independent Special Assets Or Issuer Company /Kag/Kvg (Fund Manager) • Institution City Of Registered Seat
F	BULK_LE_STATE _REGION	C, A, I	• Company • Issuer Company / Kag/Kvg (Fund Manager) Or Legally Independent Special Assets • Institution German Federal State Where Registered Seat Is Located
G	BULK_LE _COUNTRY	C, A, I	• Company • Issuer Company / Kag/Kvg (Fund Manager) Or Legally Independent Special Assets • Institution Country Of Registered Seat (See Key File ➔ Country)
H	BULK_HQ_NAME	A	• Not Required • Custodian Bank Full Name • Not Required
I	BULK_HQ _STREET	C, A	• Company Administrative Headquarters • Custodian Bank • Not Required Address (Street And House Number)
J	BULK_HQ _POSTAL	C, A	• Company Administrative Headquarters • Custodian Bank • Not Required Postal Code
K	BULK_HQ_CITY	C, A	• Company Administrative Headquarters • Custodian Bank • Not Required City

* C = company, A = fund, I = institution, in brackets = optional information

Field summary, Page 2/2

	Field Name	Obligatory*	Content (Company, Fund, Institution)
L	BULK_HQ_STATE_RE GION	C, A	• Company Administrative Headquarters • Custodian Bank • Not Required German Federal State Where Registered Seat Is Located
M	BULK_HQ _COUNTRY	C, A	• Company Administrative Headquarters • Custodian Bank • Not Required In Which Country It Is Located (See Key File ➜ Country)
N	BULK_JURIS	C	• Competent Company Registry • Not Required • Not Required
O	BULK_REGISTER_NR	C, (I)	• Registration Number • Not Required • Registration Number
P	BULK_FUND _STRUCTURE	A	• Not Required • Fund Structure(See Key File ➜ Fund Structure) • Not Required
Q	BULK_FUND _TYPE	A	• Not Required • Classification Of Fund Type (See Key File ➜ Fund Type) • Not Required
R	BULK_FUND_ISIN	(A)	• Not Required • Example For Unique Fund Isin Of The Share Class • Not Required
S	BULK_FUND_KAG	A	• Not Required • Capital Market Fund Manager (Kag) / Financial Fund Manager (Kvg) / Name Of Special Assets • Not Required
T	BULK_AD_INFO	(C), (A)	• Industry (See Key File ➜ Industries) • Kag Number (Provided By The Federal Financial Supervising Authority (Bafin)) • Not Required
U	BULK_BAFIN_NR	(A)	• Not Required • Fund Registration Number (Authority) • Not Required
V	BULK_FUND_REG_NR	(A)	• Not Required • Legally Independent Special Assets Or Issuer Company Kag/Kvg Registration Number • Not Required
W	BULK_FUND _REGISTER	(A)	• Not Required • Competent Company Registry • Not Required

X	BULK_PUBLIC _CLASS	I	• Not Required • Not Required • Classification Of The Institution (See Key File➔ Corporation_Classification)

* C = company, A = fund, I = institution, in brackets = optional information

Completing the fields for companies

Column A (BULK_name) – obligatory field, up to 255 characters

Specify here the full company name **registered in the company registry**. Official name is to be recorded, not a brand or abbreviation. If an expression referring to the legal form is part of the name, then it has to be recorded, too.

E.g.: If the company form is recorded in its entirety in the full name, then please indicate this on the request, as well.

Column B (BULK_OU_type) – obligatory field, up to 255 characters

Specify here the legal form of the company to be registered. The valid legal forms can be found in the attached key file.

Columns C-G (BULK_LE_****) – obligatory fields, up to 255 characters

Specify here the address of the company seat.
Column C (BULK_LE_street): street and house number
Column D (BULK_LE_postal): postal code
Column E (BULK_LE_city): city
Column F (BULK_LE_state_region): German state
Column G (BULK_LE_country): country (key file ➔ countries)

Column H (BULK_HQ_name)

No need to fill this in for companies.

Columns I-M (BULK_HQ_****) – obligatory fields, up to 255 characters

Specify here the address of the company's administrative headquarters. These fields must be filled in even if the address of the administrative headquarters is the same as the address of the seat.

Column I (BULK_HQ_street): street and house number
Column J (BULK_HQ_postal): postal code
Column K (BULK_HQ_city): city
Column L (BULK_HQ_state_region): German state
Column M (BULK_HQ_country): country (key file ➔ countries)

Column N (BULK_juris) – obligatory field, up to 255 characters

Specify here the competent company registry. In Germany this is the competent local court.

Column O (BULK_register_nr) – obligatory field, up to 255 characters

Specify here the registry number provided by the competent company registry specified in the Column N.

Columns P-S No need to fill these in for companies.

Column T (BULK_AD_info) – obligatory field, up to 255 characters

The industry code of the company may be specified here. The valid industry codes are contained in the attached key file ➔ Departments.

Columns U-X

No need to fill these in for companies.

Completing the fields for funds

Column A (BULK_name) – obligatory field, up to 255 characters

Specify here the full name of the fund.

Column B (BULK_OU_type) – obligatory field, up to 255 characters

Specify here the legal form of the fund.

Columns C-G (BULK_LE_**) – obligatory fields, up to 255 characters**

Specify here the address of the legally independent special assets or issuer company / capital market fund manager (KAG)/ financial fund manager (KGV) (fund manager).

Column C (BULK_LE_street): street and house number
Column D (BULK_LE_postal): postal code
Column E (BULK_LE_city): city
Column F (BULK_LE_state_region): German state
Column G (BULK_LE_country): country (key file ➔ countries)

Column H (BULK_HQ_name) – obligatory field, up to 255 characters

Specify here the full name of the custodian bank of the fund. The official name has to be specified, including the legal form if it constitutes a part of the name.

Columns I-M (BULK_HQ_**) – obligatory fields, up to 255 characters**

The address of the custodian bank detailed in the Column H has to be specified in these columns.

Column I (BULK_HQ_street): street and house number
Column J (BULK_HQ_postal): postal code
Column K (BULK_HQ_city): city
Column L (BULK_HQ_state_region): German state
Column M (BULK_HQ_country): country (key file ➔ countries)

Columns N-O

No need to fill these in for funds.

Column P (BULK_fund_structure) – obligatory field, max. 10 characters

Specify here the structure of the fund according to the attached key file ➔ Fund Structure.

Column Q (BULK_fund_type) – obligatory field, max. 10 characters

Specify here the classification of the type of the fund according to the attached key file ➔ Fund Type.

Column R (BULK_fund_isin) – optional field, 12 characters

Share classes may be specified here, example for the unique fund ISIN.

Column S (BULK_fund_KAG) – obligatory field, up to 255 characters

Specify here the names of the capital market fund manager (KAG) / financial fund manager (KVG) / special assets.

Column T (BULK_AD_info) – optional field, up to 255 characters

The number assigned by the Supervising Authority to the fund manager may be specified here.

Column U (BULK_bafin_nr) – optional field, up to 255 characters

The fund registration number may be specified here.

Column V (BULK_fund_reg_nr) – optional field, up to 255 characters

The registration number of the capital market fund manager (KAG)/financial fund manager (KVG) or the special assets may be specified here.

Column W (BULK_fund_register) – optional field, up to 255 characters

The name of the registrar of the capital market fund manager (KAG) /financial fund manager (KVG) or special asset may be specified here.

Column X (BULK_public_class)

No need to fill this in for funds.

Completing the fields for institutions

Column A (BULK_name) – obligatory field, up to 255 characters

Specify here the full registered name of the institution. Official name is to be recorded, not a brand or abbreviation. If an expression referring to the legal form is part of the name, then it has to be recorded, too.

Column B (BULK_OU_type)

No need to fill this in for institutions.

Columns C-G (BULK_LE_**) – obligatory field, up to 255 characters**

Specify here the address of the seat of the institution.

Column C (BULK_LE_street): street and house number
Column D (BULK_LE_postal): postal code
Column E (BULK_LE_city): city
Column F (BULK_LE_state_region): German state
Column G (BULK_LE_country): country (key file ➔ countries)

Columns H-N

No need to fill these in for institutions.

Column O (BULK_register_nr) – optional field, up to 100 characters

The institution registration number, if any, may be specified here.

Columns P-W

No need to fill these in for institutions.

Column X (BULK_public_class) – obligatory field, up to 10 characters

Specify here the classification of the institution according to the attached key file ➜ Corporation_Classification.

The tables providing help for the completion of the above template can be accessed at the following link:

https://english.keler.hu/Services/Numbering%20Agency/LEI%20code/

Budapest, 8 December 2016

<div align="right">KELER Ltd.</div>